

NEIGHBORHOOD DEVELOPMENT FUND

Not-for-Profit Request

DATE: 6-21-06

TO: Appropriations Committee

FROM: Council Member James Peden

RE: Request for Neighborhood Development Fund to be considered by the Appropriations Committee.

I have reviewed the attached Proposal in the amount of \$ 5000 through the NDF for Highview Athletic League and have found it complete and within our guidelines. I/We have read the organization's statement of public purpose to be furthered by the funds requested and I/We agree that the public purpose is legitimate. I/We have also completed the disclosure section below.

Please add this Grant Proposal Agreement to the agenda of the next Appropriations Committee Meeting.

J Peden

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

DISCLOSURE

List below any relation you have with the organization requesting the grant (your, your family, your legislative assistant or any city employee to this organization and to any member of the organization's board of directors or their employees.)

Approved by:

Appropriations Committee Chairman

Date

OFFICE OF METRO COUNCIL CLERK
RECEIVED
DATE 7/11/06 TIME: 3:45pm



SECTION ONE:
DESCRIPTION OF APPLICANT AGENCY/ORGANIZATION

IDENTIFYING INFORMATION

- I. **Official** Name of Agency/Organization (Agency) as listed with the Kentucky Secretary of State: Highview Athletic League Inc
- II. Organization number as listed with the Kentucky Secretary of State: 22985
- III. List any "working" or "does business as" names for organization: _____
- IV. Address of main office: (street and zip + 4) 1334 Villa Park Drive
6415 Outer Loop Louisville 40229
- V. P. O. / mailing address if different: 1334 Villa Park Dr (zip + 4) 40219
- VI. Phone # (502) 964-8616 Fax# (502) 964-8616
- VII. E-Mail abellr@bellsouth.net
- VIII. **Agency's Legal Signatory/Title**
Name Roger L. Abell
Title President
- IX. **Contact person responsible for application:**
A. Name: Roger Abell
B. Phone # (502) 964-8616 Fax# (502) 964-8616
C. E-Mail abellr@bellsouth.net

DESCRIPTION OF AGENCY

- I. **Describe your Agency's vision, mission and services:**
To provide youth sports to the surrounding community
by providing supervised competitive games. Supervisors
of these games are to instill in those participating
that the winning of the game is secondary. The most
important point and prime objective is the molding of
our future adults
- _____
- _____
- _____
- _____
- _____

- II. Total number of Board members 9
- III. Number of Board meetings held to date in current fiscal year 10-12
- IV. Average attendance at Board meetings 9

FACILITIES

- I. List location(s) and terms (owned, rented, leased, or donated).
- A. Moore High School 6415 Outer Loop (rented/Leased)
- B. _____
- C. _____
- D. _____
- II. Are all facilities handicapped accessible? Yes ☒ No _____
- III. If no, please explain:

FINANCIAL INFORMATION

- I. Agency's fiscal year from (month) 1 to (month) 12
- II. Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? No ☒ Yes _____
- III. If yes, please explain.

- IV. For the **current fiscal year**, list funds received from Louisville Metro Government, including funds from any department, office, etc. in either the former City of Louisville or Jefferson County.

\$ _____ Source: _____

\$ _____ Source: _____

\$ _____ Source: _____

\$ _____ Source: _____

V. Provide one copy only of each of the following, as appropriate (4 points):

- A. Articles of Incorporation.
- B. Approved budget or executive summary for your Agency's current fiscal year.
- C. Proof of IRS 501(C) (3) status, or application for this IRS status, if applicable.
- D. Staffing structure for entire Agency, including organizational chart.
- E. Board member list; specify chair, vice-chair, secretary, and treasurer.
- F. **If** your Agency is an employer required to have a written Affirmative Action/Equal Employment Opportunity policy: copy of policy.
- G. **If** rent/occupancy costs are being requested: copy of the signed lease.
- H. **If** program participants have the opportunity to evaluate the services received: one copy each of any forms used.

VI. List below any relationship any members of your Board of Directors or employees have with any Metro Council Member, Council Member's family, Council Member's staff, or any Louisville Metro Government employee.

None

VII. I certify under the penalty of law that the information in this application is accurate to the best of my knowledge. I am aware that my Agency will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am authorized to sign this application for the Agency.

Name of Legal Signatory: (type or print) Roger L. Abell

Title: President

Signature Roger L. Abell

Date 5/6/06

LOUISVILLE METRO COUNCIL
APPLICATION FORM FOR
NEIGHBORHOOD DEVELOPMENT FUNDS
(2005-2006)

Proposed Activity/Need: Upgrade/Add lighting and Batting cages
Name of Applicant Agency: Highview Athletic League
AMOUNT OF FUNDING REQUESTED \$ 5000.00

I. Contact Person responsible for the Activity described in this proposal:

A. Name Baner L. Abell
B. Title President
C. Phone # (502) 299-3380 Fax # (502) _____
D. E-mail abellr@bellsouth.net

2. If funded, this activity will further which of the major goals of Louisville Metro listed below.

☐ Bringing Us Together

☒ Keeping Us Safe

☐ Promoting Education and Growing Jobs

☒ Enhancing Neighborhoods and Protecting Our "Louisville" Quality of Life

3. If funded, this activity will strengthen (check one):

- ☐ Youth (teenagers, ages 13-19)
☐ Human Services (Citizens with barriers to meeting basic human needs)
☐ Arts/cultural
☐ Neighborhoods
☐ Business Associations
☐ Parks
☒ Community Activities and Events
☐ Other: if you do not believe your proposal fits any of the above, please describe the nature of your request:

4. If approved, Louisville Metro Funds will be used for (check one)

- ☐ Operating Funds (cannot exceed 33% of agency's total budget)
☐ Programming/services/events for direct benefit to community or qualified individuals
☐ Capital equipment (small operating equipment which may be used to benefit the individuals or community being served. (No building or renovations)

5. PROPOSAL DESCRIPTION: Describe how you are going to further one of the four major goals of Louisville Metro Government by this proposal. (See #2)

Improve our facilities and ~~be~~ attract more players to our League.

6. Describe the activity being proposed to address the goal.

Add additional lighting to batting cages + pitching areas.

7. Describe how the funding is to be used. BE SPECIFIC.

Funds will be used to cover cost of Labor + material to install lighting

8. Describe the results/goals for this proposal. How will you know it is successful?

Make our facilities more available for teaching Pitching + hitting.
More/new players to our league

EXPECTATIONS/REQUIREMENTS INCLUDE BUT ARE NOT LIMITED TO:

- a. Participate in post-award training.
- b. Make all program and financial records available to any monitors from Louisville Metro to assure compliance with the approved funding.
- c. Failure to provide the services, programs or projects included in the agreement will result in funds being withheld, or in requirement for reimbursing Louisville Metro.
- d. Return to Louisville Metro of any unexpended funds by July 31, 2006.
- e. Documentation of all expenditures (canceled checks, receipts, paid invoices)

COMPLETE PAGE 3 -BUDGET SUMMARY STATEMENT FOR THIS PROJECT.

STAFF ONLY:

_____ Description of Applicant Agency/Organization Complete

_____ All documentation is attached: 501(c)3 status, Articles of Incorporation, Secretary of State status, EIN (Employer Identification Number)

PROJECT/PROGRAM BUDGET SUMMARY STATEMENT

AGENCY NAME: Highview Athletic League

Project/Program Name: Additional Lighting

This Project/Program Proposal is # 1 of 1



REVENUES ANTICIPATED	2005-2006	%
	Round to the nearest \$100	of Total Revenue
Louisville Metro Government Requested of Metro Agency: Metro Council	\$ 5000.00	
State of Kentucky		
Federal Government (Including Federal Pass-thru to State)		
United Way		
Fees for Services		
Private Contributions		
Interest Income		
Other Sources (Please specify)		
TOTAL REVENUES	\$	100%

OPERATING EXPENSES		
Personnel (including all fringes)		
Operating (Contractual and Supplies)		
Capital Equipment (Small Operating Equipment)		
TOTAL EXPENDITURES	\$	100%

Value of in-kind assets, such as donated space, supplies, use of equipment, etc.	\$
Value of volunteer services and how computed:	\$

Highview Athletic League

Budget for 2006

Revenues

Signups AAU Baseball	\$2,000.00
Signups Baseball	\$25,000.00
Signups Fallball	\$8,000.00
Signups Basketball	\$21,000.00
Signups Softball	\$2,250.00
Signups Football	\$23,500.00
Signups Cheerleading	\$12,000.00
Fundraising	\$10,000.00
Gate income Basketball	\$20,000.00
Gate income Baseball	\$3,000.00
Gate income Football	\$15,000.00
Concession Stand Income	\$34,000.00
Bingo Income	\$145,000.00
Interest Income	\$1,200.00
Metro Council Donation	\$5,000.00

Total Revenues \$326,950.00

Expenses

Uniforms/Equipment Baseball	\$21,000.00
Uniforms/Equipment Basketball	\$19,000.00
Uniforms/Equipment Football	\$15,000.00
Uniforms/Equipment Cheer	\$12,000.00
Uniforms/Equipment Fallball	\$9,000.00
Uniforms/Equipment Softball	\$8,000.00
Sanction Fees	\$1,800.00
Tournament Baseball	\$5,000.00
Tournament Basketball	\$1,000.00
Tournament Football	\$300.00
Dues to Moore/Southern	\$12,000.00
Umpires Baseball	\$18,000.00
Referees Basketball	\$15,000.00
Referees Football	\$5,000.00
Field Maintenance Baseball	\$22,000.00
Trophies Baseball	\$3,000.00
Trophies Basketball	\$3,000.00
AAU Baseball Expenses	\$12,000.00
Utilities	\$4,500.00
Phone	\$5,000.00
Water	\$6,000.00
Dumpster/Port a Pot	\$3,000.00
Insurance Prop/Casualty	\$10,000.00
Insurance Players	\$15,000.00
Office Supplies	\$1,500.00
Printing Expenses	\$3,000.00
Repair	\$12,000.00
Sales Taxes	\$4,000.00
Security Cost	\$7,500.00

Total Expenses \$253,600.00

Capital Projects

Sprinklers Duncan	\$6,000.00
Upgrade Water meter	\$3,000.00
Electrical Repair & Upgrade	\$10,000.00
Lights for Batting Cages	\$5,000.00
New Building for Equipment (1)	\$15,000.00
Upgrade to Batting Cages	\$15,000.00
Repair Fences	\$15,000.00
Rework T-ball Fields	\$7,500.00
Rework Duncan Infield	\$4,500.00
Drainage Repairs	\$14,500.00

Total Capital Projects \$95,500.00

2006 Budget

Total Revenues	\$326,950.00
Total Expenses	\$253,600.00
Total Capital Projects	\$95,500.00

Excess(Shortfall) (\$22,150.00)

(1) New Building is needed for Football. Football has increased from 95 kids in 2001 to 280 kids in 2005 and needs more room to store equipment.

2006 Highview Athletic League Board of Directors

President--Roger Abell

Vice President--Ron Durham

Treasurer--Ed Packer

Secretary--Karen Hall

Basketball President--Ron Durham

Assigning Referee--Rick Browning

Basketball Vice President--Greg Bodine

Baseball President--Chuck Dichiara

Baseball Vice President--Steve Mcdaniel

Softball President--Michael Hiser

Umpire in Chief--Jerry Durbin

Football President--John Graf

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: AUG 19 1988

HIGHVIEW ATHLETIC LEAGUE
INCORPORATED
C/O ROGER ABELL
1244 PIGEON PASS
LOUISVILLE, KY 40213

Employer Identification Number:
61-1318395

DLN:
17053201011048

Contact Person:
D. A. DOWNING

Contact Telephone Number:
(513) 241-5199

Accounting Period Ending:
December 31

Form 990 Required:
Yes

Addendum Applies:
Yes

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

We have further determined that you are not a private foundation within the meaning of section 509(a) of the Code, because you are an organization described in section 509(a)(2).

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status and foundation status. In the case of an amendment to your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, you should inform us of all changes in your name or address.

As of January 1, 1984, you are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Since you are not a private foundation, you are not subject to the excise taxes under Chapter 42 of the Code. However, if you are involved in an excess benefit transaction, that transaction might be subject to the excise taxes of section 4958. Additionally, you are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please contact your key district office.

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that

Letter 947 (DO/CG)

HIGHVIEW ATHLETIC LEAGUE

you would no longer be classified as a section 509(a)(1) organization.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of Code sections 2055, 2106, and 2522.

Contribution deductions are allowable to donors only to the extent that their contributions are gifts, with no consideration received. Ticket purchases and similar payments in conjunction with fundraising events may not necessarily qualify as deductible contributions, depending on the circumstances. See Revenue Ruling 67-246, published in Cumulative Bulletin 1967-2, on page 104, which sets forth guidelines regarding the deductibility, as charitable contributions, of payments made by taxpayers for admission to or other participation in fundraising activities for charity.

In the heading of this letter we have indicated whether you must file Form 990, Return of Organization Exempt From Income Tax. If Yes is indicated, you are required to file Form 990 only if your gross receipts each year are normally more than \$25,000. However, if you receive a Form 990 package in the mail, please file the return even if you do not exceed the gross receipts test. If you are not required to file, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return.

If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. A penalty of \$20 a day is charged when a return is filed late, unless there is reasonable cause for the delay. However, the maximum penalty charged cannot exceed \$10,000 or 5 percent of your gross receipts for the year, whichever is less. For organizations with gross receipts exceeding \$1,000,000 in any year, the penalty is \$100 per day per return, unless there is reasonable cause for the delay. The maximum penalty for an organization with gross receipts exceeding \$1,000,000 shall not exceed \$50,000. This penalty may also be charged if a return is not complete, so be sure your return is complete before you file it.

You are required to make your annual return available for public inspection for three years after the return is due. You are also required to make available a copy of your exemption application, any supporting documents, and this exemption letter. Failure to make these documents available for public inspection may subject you to a penalty of \$20 per day for each day there is a failure to comply (up to a maximum of \$10,000 in the case of an annual return).

You are not required to file federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

Letter 947 (DO/CG)

HIGHVIEW ATHLETIC LEAGUE

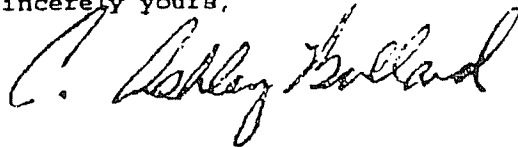
You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

If we have indicated in the heading of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "C. Ashley Ballard".

District Director

Articles of Incorporation and Charter

Highview Little League, Incorporated

Articles of Incorporation entered into on 30 day of January
A.D. 1971 by and between the undersigned subscribers hereby
associate themselves together as a body under the laws of the
State of Kentucky as a Corporation not-for-profit and they adopt
the following proposed Charter:

Article I

The name of the corporation shall be Highview Little League,
Incorporated.

Article II

The office and principal place of business of the Corporation
shall be 7201 Briscoe Lane, Louisville, Jefferson County, Kentucky.

Article III

The general nature and purpose of the Corporation shall be:

- (1) To promote, develop, supervise and voluntarily assist in all
lawful ways the interest of boys who will participate in baseball;
to help and voluntarily assist boys in developing qualities of
citizenship, sportsmanship and manhood.
- (2) To take by gifts or devise and to purchase, sell, transfer,
mortgage and lease or rent real and personal property and do all
things necessary and essential thereto.
- (3) To do all things necessary and essential to carry on activities
of the league, including the establishment of facilities to be
used by the league.

Article IV

This Corporation shall commence business upon the approval of
these articles and shall continue for a period of ninety-nine years.

RECEIVED
APR 24 1971
SECRETARY OF STATE

Commonwealth of Kentucky

Article V

The qualifications of the members and the manner of their admission into this corporation not-for-profit shall be as follows:

The membership of this corporation shall at all times be confined to the active membership, in good standing in Highview Little League, Incorporated.

Article VI

The number of officers of this corporation shall be five. Their term of office shall be for one year. Their nomination and election to take place in December of each year at the annual membership meeting at a place designated by the officers.

The names and addresses of the present officers who are to act in the capacity of principal officers until the selection of their successors are as follows:

Name	Address	Title
Burl Rapier	7201 Briscoe Lane Lou., Ky.	President
Bobby J. Welch	7202 Victoria Dr. Lou., Ky.	Vice-President
Paul C. Bisig, Sr.	6500 Moorhaven Dr. Lou., Ky.	Secretary
Norman L. McPherson	6500 Green Manor Dr. Lou., Ky.	Treasurer
Louis A. Kissel	5307 Layne Road Lou., Ky.	Player Agent

Article VII

(1) This corporation does not contemplate pecuniary gain or profit to its members, but at all times shall be conducted as a non-profit corporation and no capital stock shall be issued or sold.

(2) The agent for service is Burl Rapier, 7201 Briscoe Lane, Lou., Ky., 40228, who will file with the County Clerk of Jefferson County and the Secretary of State of Kentucky.

Article VIII

The private property and effects of the officers and members of this corporation shall, under no circumstances, be liable or subject to or for the payment of the debts of this corporation.

Article IX

Provisions of these Articles may be amended at any time in accordance with the Law of the State of Kentucky.

In witness whereof, the said parties, as the officers and members of Highview Little League, Incorporated, as authorized so to do, have hereunto set their hand this 30 day of January, 1977.

Burt Kiser
Bobby Roberts
Wanda Roberts
Robert C. Burt
James A. Kiser

State of Kentucky

County of Jefferson

I witnessed the above signatures.

Subscribed and sworn to before me by

James A. Kiser
Bobby Roberts
Wanda Roberts
Robert C. Burt

This 30 day of January, 1977.

ORIGINAL COPY
FILED AND RECORDED

Samuel F. Harp

APR 14 1977

SECRETARY OF STATE OF KENTUCKY

FRANKFORT, KENTUCKY

BY Samuel F. Harp
ASSISTANT SECRETARY OF STATE

Secretary of State
State of Kentucky
Frankfort, Kentucky

SECRETARY OF STATE

RECEIVED

1-12-1971

Commonwealth of Kentucky

Dear Sir:

Re: Ammendment of Articles
Meeting Date 2/7/72

The Board of Directors of Highview Little League Incorporated

wish to ammend the following articles of their charter:

Article I :

The name of the corporation shall be Highview Little League Inc.

Change To : The name of the corporation shall be Highview Athletic League Incorporated. The league includes Franchise Little League, Franchise Babe Ruth League and Youth Football League.

Article II : No changes or additions.

Article III :

Para. (1) to promote, develop, supervise and voluntarily assist in all lawful ways the interest of boys who will participate in baseball.

Change To : Para. (1) to promote, develop, supervise and voluntarily assist in all lawful ways the interest of boys who will participate in all sports.

Article IV : No changes or additions.

Article V : The membership of this corporation shall at all times be confined to the active membership in good standing in Highview Little League Inc.

Change To : The membership of this corporation shall at all times be confined to the active membership in good standing in Highview Athletic League Incorporated.

Article VI : No changes or additions.

Article VII : No changes or additions.

Article VIII: No changes or additions.

Secretary of State
State of Kentucky
Frankfort, Kentucky

Article 1A : No changes or additions.

In witness where of, the said parties, a true and correct copy of the above Article 1A has been incorporated, and authorized to do, have been made at their head this 7th day of January, 1972.

[Handwritten signatures]
James H. K. Smith
A. B. K. Smith
James H. K. Smith

State of Kentucky

County of Jefferson

I witness the above document.

Subscribed and sworn to before me by

[Handwritten signatures]
James H. K. Smith
A. B. K. Smith

This 7th day of January, 1972.

ORIGINAL COPY
FILED AND RECORDED
SECRETARY OF STATE OF KENTUCKY
FRANKFORT, KENTUCKY

MAR 20 1972

[Handwritten signature]
SECRETARY OF STATE
BY *[Handwritten signature]*
FRANKFORT, KENTUCKY

Organization Number 0022985
Name HIGHVIEW ATHLETIC LEAGUE
INCORPORATED
Profit or Non-Profit N - Non-profit
Company Type KCO - Kentucky Corporation
Status A - Active
Standing G - Good
State KY
File Date 4/14/1971
Organization Date 4/14/1971
Expiration Date 4/14/2070
Last Annual Report 2/6/2006
Principal Office 1334 VILLA PARK DRIVE
LOUISVILLE, KY 40219
Registered Agent ROGER L. ABELL
1334 VILLA PARK DRIVE
LOUISVILLE, KY 40219

Current Officers

President Roger L Abell
Vice President RON DURHAM
Treasurer ED PACKER
Director Greg Bodine
Director Jerry Durbin
Director Roger L Abell
Director JOHN GRAF

Incorporators and Initial Directors

Incorporator BURL RAPIER
Incorporator BOBBY J. WELCH
Incorporator PAUL C. BISIG, SR.
Incorporator NORMAN L. MCPHERSON
Incorporator LOUIS A. KISSEL
Incorporator BURL RAPIER
Incorporator BOBBY J. WELCH
Incorporator PAUL C. BISIG, SR.
Incorporator NORMAN L. MCPHERSON
Incorporator LOUIS A. KISSEL

Director	<u>BURL RAPIER</u>
Director	<u>BOBBY J. WELCH</u>
Director	<u>PAUL C. BISIG, SR.</u>
Director	<u>NORMAN L. MCPHERSON</u>
Director	<u>LOUIS A. KISSEL</u>
Director	<u>BURL RAPIER</u>
Director	<u>BOBBY J. WELCH</u>
Director	<u>PAUL C. BISIG, SR.</u>
Director	<u>NORMAN L. MCPHERSON</u>
Director	<u>LOUIS A. KISSEL</u>

This organization has no assumed names

Previous Names

HIGHVIEW LITTLE LEAGUE, INCORPORATED

Images Available Online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

2/6/2006	1 page	<u>PDF</u>	<u>Annual Report</u>
10/28/2005	1 page	<u>tiff</u> <u>PDF</u>	<u>Annual Report</u>
9/9/2004	1 page	<u>tiff</u> <u>PDF</u>	<u>Annual Report</u>
8/13/2003	1 page	<u>tiff</u> <u>PDF</u>	<u>Annual Report</u>